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Attorney Docket No. IMMR-070/01US

**PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of Louis B. ROSENBERG et al.

Serial No.:

09/678,110

Examiner:

Alecia Diane Nelson

Filed:

MAY 2 8 2004

October 2, 2000

Art Unit:

2675

Confirmation No.: 4151

For: ROTARY FORCE FEEDBACK WHEELS FOR REMOTE CONTROL DEVICES

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#### INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

	[x]	In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
	[]	In accordance with 37 C.F.R. §1.97(c), also enclosed is:
		[] the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
		[] a statement as specified in 37 C.F.R. §1.97(e).
	[]	In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. § 1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
	[]	Check No in the amount of \$ for the total fee is attached.
	[]	A return receipt postcard is also enclosed.
1	[]	Please charge \$ to Deposit Account No. 50-1283 for the total fee. This paper is being submitted in duplicate.

Application Serial No.: 09/678,110 Attorney Docket No.: IMMR-070/01US

Page 2

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R.

§§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1283.

Dated: May 28, 2004

Cooley Godward LLP ATTN: Patent Group One Freedom Square Reston Town Center 11951 Freedom Drive Reston, VA 20190-5656 Tel: (703) 456-8000

Tel: (703) 456-8000 Fax: (703) 456-8100

206763 v1/RE 4FJF01!.DOC Respectfully submitted,

COOLEY GODWARD LLP

By:

Erik B. Milch Reg. No. 42,887 Attorney Docket No. IMMR-070/01US

**PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re application of Louis B. ROSENBERG et al.

Serial No.:

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## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §\$1.97 and 1.98.

- [x] Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 (1 of 2) is provided on the enclosed CD.
- [x] No copies of the publications listed on the attached Form PTO/SB/08A (2 of 2) are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. 09/049,155 (now U.S. Patent No. 6,128,006) to which the above-identified application claims priority under 35 U.S.C. §120.
- [x] No copies of the publications listed on attached Form 1449 are being provided because the references were listed in an Information Disclosure Statement filed December 10, 2001, for which the Applicants did not receive written confirmation of the references being considered by the Examiner. The references listed on the Form 1449 that are identified by the asterisk (\*) were previously cited by or submitted to the Office in prior Application Serial No. 09/049,155 (now U.S. Patent No. 6,128,006) to which the above-identified application claims priority under 35 U.S.C. §120.
- [] No copies of any U.S. patents or U.S. patent application publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98 because this application was filed after June 30, 2003.

Application Serial No.: 09/678,110 Attorney Docket No.: IMMR-070/01US Page 2

0	foreign			form PTO/SB/08A were cited in a esponding to application serial no.		
[]	Enclosed is a copy of a non-English publication(s) Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).					
[]	Enclosed is a copy of a non-English publication(s) English language publication (copy enclosed) claims priority from this non-English publication.					
[]	Enclosed is an explanation of non-English publication(s) for which an English translation is not available.					
[]	Enclosed is an English translation of non-English publication(s)cited in the attached Form PTO/SB/08A.					
	Enclos	ed is a copy of pending U	J.S. Paten	t Application Serial No		
This In periods:	This Information Disclosure Statement is filed within any one of the following time periods:					
	[]	within three months from than a CPA under 37 C.		g date of this national application other 3(d);		
		within three months from in 37 C.F.R. §1.491 in the		of entry of the national stage as set forth tional application;		
	[]	before the mailing date	date of a first office action on the merits; or			
	[x]	before the mailing of a facontinued examination u		action after the filing of a request for C.F.R. § 1.114.		
It is res	spectful	ly requested that the Exa	miner con	sider the above-noted information and		
return an initia	aled cop	y of the attached Form P	TO/SB/08	A to the undersigned.		
Dated: May 2	8, 2004			Respectfully submitted, COOLEY GODWARD LLP		
Cooley Godward LLP ATTN: Patent Group One Freedom Square Reston Town Center 11951 Freedom Drive Reston, VA 20190-5656 Tel: (703) 456-8000			By:	Erik B. Milch Reg. No. 42,887		
Fax: (703) 456	<b>5-8100</b>					

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE enwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449A/PTO **Application Number** 09/678,110 INFORMATION DISCLOSURE Filing Date October 2, 2000 STATEMENT BY APPLICANT **First Named Inventor** Louis B. Rosenberg Art Unit 2675 (use as many sheets as necessary) Alecia Diane Nelson Examiner Name 3 IMMR070/01US Sheet of Attorney Docket Number

			U.S. PATENT DOC	UMENTS		
		Document Number				
Examiner	Cite No.1	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	Al	6,422,941	7/23/2002	Thorner et al.		
	A2	6,160,489	12/12/2000	Perry et al.		
	A3	6,111,577	8/29/2000	Zilles et al.		
	A4	5,690,582	11/25/1997	Ulrich et al.		
	A5	5,575,761	11/19/1996	Hajianpour		
	A6	5,437,607	8/1/1995	Taylor	DEOCUL	- 6
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	A8	5,283,970	2/8/1994	Aigner		
	A9	5,186,695	2/16/1993	Mangseth et al.	JUN 0 3 2 <b>0</b> 04	ŀ
	A10	5,175,459	12/29/1992	Danial et al.	00	
	All	5,165,897	11/24/1992	Johnson	Technology Center	· 🤈
	A12	5,022,384	6/11/1991	Freels	recimology center	4
	A13	4,885,565	12/5/1989	Embach		
	A14	4,484,191	11/20/1984	Vavra		
	A15	4,464,117	8/7/1984	Foerst		
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	A17	4,262,549	4/21/1981	Schwellenbach		
	A18	4,127,752	11/28/1978	Lowthorp		
	A19	2,972,140	2/14/1961	Hirsch		

		Foreign Patent Document				Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited  Document	Passages or Relevant Figures Appear	
	BI	JP	H2-185278		7/19/1990	Taito Corporation		
	B2	JP	H4-8381		1/13/1992	Epoch Co. and Key- Planning Co.		
	В3	JP	H7-24147		1/27/1995	Sega Corporation		
	B4	JP	H5-192449		8/3/1993	Taito Corporation		

Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST, 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (10-01)
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

	for form 1449A/PT0	***		are required to respond to a concount	Complete if Known	
				Application Number	09/678,110	DECENTO
INFO	RMATION	DIS	CLOSURE	Filing Date	October 2, 2000	RECEIVED
STATEMENT BY APPLICANT				First Named Inventor	Louis B. Rosenberg	
				Art Unit	2675	JUN 0 3 2004
	(use as many she	ets as	necessary)	Examiner Name	Alecia Diane Nelson	
Sheet	2	of	3	Attorney Docket Number	IMMR070/01US	Technology Center 2600

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	CI	PATRICK, "Design, Construction, and Testing of a Fingertip Tactile Display for Interaction with Virtual and Remote Environments," <i>Master of Science Thesis</i> , MIT, Aug. 1990, archived Nov. 8, 1990.
	C2	CALDER, "Design of A Force-Feedback Touch-Introducing Actuator For Teleoperator Robot Control," Bachelor of Science Thesis, MIT, May 1983, archived June 23, 1983.
	C3	WIKER, "Teletouch Display Development: Phase 1 Report," <i>Technical Report 1230</i> , Naval Ocean Systems Center, San Diego, July 1988.
	C4	BLISS, "Optical-to-Tactile Image Conversion for the Blind," <i>IEEE Transactions on Man-Machine Systems</i> , Vol. MMS-11, No. 1, March 1970.
	C5	JOHNSON, "Shape-Memory Alloy Tactile Feedback Actuator," Armstrong Aerospace Medical Research Laboratory, AAMRL-TR-90-039, August, 1990.
	C6	KONTARINIS et al., "Tactile Display of Vibratory Information in Teleoperation and Virtual Environments," PRESENCE, 4(4):387-402, Harvard Univ., 1995.
	C7	AUKSTAKALNIS et al., "Silicon Mirage: The Art and Science of Virtual Reality," ISBN 0-938151-82-7, pp. 129-180, 1992.
	C8	EBERHARDT et al., "Inducing Dynamic Haptic Perception by The Hand: System Description and Some Results," DSC-Vol. 55-1, <i>Dynamic Systems and Control</i> : Volume 1, ASME 1994.
	C9	GOBEL et al., "Tactile Feedback Applied to Computer Mice," <i>International Journal of Human-Computer Interaction</i> , Vol. 7, No. 1, pp. 1-24, 1995.
	C10	PIMENTEL et al., "Virtual Reality: through the new looking glass," 2 <sup>nd</sup> Edition; McGraw-Hill, ISBN 0-07-050167-X, pp. 41-202, 1994.
	CII	"Cyberman Technical Specification," Logitech Cyberman SWIFT Supplement to Logitech Mouse Technical Reference and Programming Guide, 4/5/1994.
	C12	OUHYOUNG et al., "The Development of A Low-Cost Force Feedback Joystick and Its Use in the Virtual Reality Environment," Proceedings of the Third Pacific Conference on Computer Graphics and Applications, Pacific Graphics '95, Seoul, Korea, 21-24 August 1995.
	C13	KACZMAREK et al., "Tactile Displays," Virtual Environment Technologies, Chap. 9, pp. 349-414.
	C14	LAKE, "Cyberman from Logitech," at http://www.ibiblio.org/GameBytes/issue21/greviews/cyberman.html, 1994.
	C15	"Component Maintenance Manual With Illustrated Parts List, Coaxial Control Shaker Part No. C-25502," Safe Flight Instrument Corporation, Revised 28 January 2002 (3 pages).

Examiner	Date	
Signature	Considered	

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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

(1 of 2)

PTO/SB/08A (10-01)
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Substitute	e for form 1449A/PTO			U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE are required to respond to a collection of information unless it contains a valid OMB control number.  Complete if Known				
				Application Number	09/678,110			
INFC	RMATION I	DIS	CLOSURE	Filing Date	October 2, 2000			
STATEMENT BY APPLICANT				First Named Inventor	Louis B. Rosenberg			
				Art Unit	2675			
	(use as many shee	ts as	necessary)	Examiner Name	Alecia Diane Nelson			
Sheet	3	of	3	Attorney Docket Number	IMMR070/01US			

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
	C16	"Technical Manual Overhaul Instructions With Parts Breakdown, Coaxial Control Shaker Part No. C-25502," Safe Flight Instrument Corporation, Revised 15 July 1980 (23 pages).				
	C17	SCANNELL, "Taking a Joystick Ride," Computer Currents, Boston Edition, Vol. 9, No. 11, November 1994				
	C18	YAMAKITA et al., "Tele-Virtual Reality of Dynamic Mechanical Model," <i>Proceedings of the 1992 IEEE/RSJ International Conference on Intelligent Robots and Systems</i> , Raleigh, NC, July 7-10, 1992				
	C19	NOLL, "Man-Machine Tactile," SID Journal, July/August 1972 Issue.				
	C20	ROSENBERG, "Virtual Fixtures: Perceptual Overlays Enhance Operator Performance In Telepresence Tasks," Ph.D. Dissertation, Stanford University, June 1994.				

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Examiner	Date	•
Signature	 Considered	

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INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)
Sheet 1 of 1

Complete if Known						
Application Number	09/678,110					
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Art Unit	2675					
Examiner Name	Alecia Diane Nelson					
Attorney Docket Number	IMMR070/01US					

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Examiner Signature	Date Considered	

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<sup>1</sup> **Applicant's** unique citation designation number **(optional).** <sup>2</sup> Kind Codes of U.S. Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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#### **Information Disclosure Statement By Applicant**

(Use Several Sheets if Necessary)

	Atty Docket No.:	Application No.:
	IMM1P044A	09/678,110
	Applicant:	
Ì	Roser	berg et al.
	Filing Date:	Group:
Ì	10/2/01	2675

#### **U.S. Patent Documents**

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<b>pe</b> examiner		<b>_</b>				Sub-	Filing	
Initial		Patent No.	Date	Patentee	Class	Class	Date	
	*	3,919,691	11/11/75	Noll	340	172.5	5/26/71	-
	*	4,050,265	9/27/77	Drennen et al.	64	11	8/3/76	
	*	4,131,033	12/26/78	Wright et al.	74	553	2/18/77	
	*	4,477,043	10/16/84	Repperger	244	223	12/15/82	
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		4,692,756	9/8/87	Clark	340	709	7/5/84	
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	*	4,795,296	1/3/89	Jau	414	5	11/17/86	·
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	*	4,823,634	4/25/89	Culver	74	471	11/3/87	
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	*	4,943,866	7/24/90	Barker et al.	358	335	6/16/89	
	*	4,964,004	10/16/90	Barker	360	14.1	6/16/89	RECEIVED
	*	4,979,050	12/18/90	Westland et al.	360	14.1	12/2/83	
	*	4,983,901	1/8/91	Lehmer	318	685	4/21/89	JUN 0 3 2004
	*	5,007,300	4/16/91	Siva	74	471	1/22/90_	0000
	*	5,044,956	9/3/91	Behensky et al.	434	45	1/12/89 100	hnology Center 2600
	*	5,095,303	3/10/92	Clark et al.	345	164		
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	*	5,138,154	8/11/92	Hotelling	250	231.12	4/4/90	]
	*	5,139,261	8/18/92	Openiano	273	148	9/18/91	
	*	5,146,566	9/8/92	Hollis, Jr. et al.	395	275	5/29/91	÷
	*	5,185,561	2/9/93	Good et al.	318	432	7/23/91	1
	*	5,186,629	2/16/93	Rohen	434	114	8/22/91	
	*	5,189,355	2/23/93	Larkins et al.	318	685	4/10/92	
	*	5,193,963	3/16/93	McAffee et al.	414	5.	10/31/90	1
	*	5,204,600	4/20/93	Kahkoska	318	602	2/6/91	1

Date Considered: Examiner:

#### **Information Disclosure Statement By Applicant**

(Use Several Sheets if Necessary)

Application No.: Atty Docket No.: 09/678,110 IMM1P044A Applicant: Rosenberg et al.

Filing Date:

Group:

10/2/01

2675

#### U.S. Patent Documents

<b>5</b> /							-
Examiner						Sub-	Filing
Initial		Patent No.	Date	Patentee	Class	Class	Date
	*	5,220,260	6/15/93	Schuler	318	561	10/24/91
	*	5,223,776	6/29/93	Radke et al.	318	568.1	12/31/90
	*	5,235,868	8/17/93	Culver	74	471	10/2/91
		5,237,327	8/17/93	Saitoh et al.	341	176	11/14/91
	*	5,254,919	10/19/93	Bridges et al.	318	560	3/22/91
	*	5,280,276	1/18/94	Kwok	345	167	7/10/92
	*	5,296,846	3/22/94	Ledley	345	161	10/5/92
	*	5,296,871	3/22/94	Paley	345	163	7/27/92
	*	5,313,230	5/17/94	Venolia et al.	345	163	7/24/92
	*	5,317,336	5/31/94	Hall	345	164	1/23/92
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	*	5,374,942	12/20/94	Gilligan et al.	345	157	8/12/93
	*	5,381,080	1/10/95	Schnell et al.	318	566	2/18/93
	*	5,389,865	2/14/95	Jacobus et al.	318	568.11	12/2/92
	*	5,396,266	3/7/95	Brimhall	345	161	6/8/93
	*	5,398,044	3/14/95	Hill	345	145	12/7/93
<del>- , , , ,</del>	*	5,405,152	4/11/95	Katanics et al.	273	438	6/8/93
	*	5,414,337	5/9/95	Schuler	318	561	6/11/93
	*	5,457,479	10/10/95	Cheng	345	163	6/13/94
	*	5,473,344	12/5/95	Bacon et al.	345	163	0,13,7
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	*	5,491,477	2/13/96	Clark et al.	341	20	9/13/93
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#### **Information Disclosure Statement By Applicant**

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Atty Docket No.: IMM1P044A

**Application No.:** 09/678,110

Applicant:

Rosenberg et al.

Filing Date:

Group:

10/2/01

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